

External Monitoring in the Community Service System

General Monitoring Activities				
Name of Monitoring	Who Does Monitoring	Who Receives Monitoring	Purpose of Monitoring	Routine Frequency of Monitoring
Independent Monitoring for Quality (IM4Q)	Local IM4Q Teams of consumers, family members, and others	Individuals in the Community Mental Retardation Services System	To measure individual outcomes and family satisfaction	Once every 3 years for individuals in licensed settings.
Licensing	Regional OMR Licensing Representatives and Department of Health Surveyors for ICFs/MR	Licensed residential and day service providers under 55 PA Code 6400,6500,6600 2380,2390.	To measure compliance with minimum health and safety requirements.	Residential, each home once every four years. Day Programs reviewed annually.
Supports Coordination	Supports Coordinators	Individuals enrolled in the mental retardation system	To monitor implementation of the Individual Support Plan	Frequency varies from monthly, quarterly or yearly depending on ISP, waiver funding and court ordered class.
OMR Monitoring of Counties	Regional Waiver Coordinators	County MH/MR Programs and representative samples of agencies and individuals	To ensure compliance with CMS and State regulation and policy	Once a year per County MH/MR Program
County Monitoring of Providers	County MH/MR Program personnel	Contracted providers of service	To monitor County contracts with providers	As needed

Specialty Monitoring Activities

Name of Monitoring	Who Does Monitoring	Who Receives Monitoring	Purpose of Monitoring	Routine Frequency of Monitoring
Health Risk Profiles	Health Care Quality Units	Individuals living in community residential programs	To measure access to health care services and health	Random sample and as needed
CMS* Monitoring of HCBS* Waivers	Regional CMS Office	Department of Public Welfare State Agencies administering HCBS Waivers, including some site reviews	To determine compliance with State assurances to CMS in approved waiver applications.	Once every 3 to 5 years, depending on the waiver approval or renewal period.
CMS* Monitoring of ICFs/MR	Regional CMS Office or contracted consultants	ICFs/MR	To determine compliance with ICF/MR requirements	Conducted as needed or as a "look behind". No particular schedule.
QEST	Contracted independent monitor	Individuals receiving services in Philadelphia	To review Individual satisfaction and outcomes; health & safety	Once a year in Philadelphia
Philadelphia Pennhurst Compliance Monitoring	OMR Southeast Regional Office, NE and Central Region	Pennhurst class members	Compliance with Pennhurst Settlement Agreement	Random Sample monthly -10% sample of population annually.
CFST	Consumer/ Family Satisfaction Teams	Embreeville class members in Philadelphia and Delaware Counties	Compliance with the Embreeville Settlement Agreement	2-3 times annually
Pennhurst Monitoring	Court appointed independent monitors	Individuals covered under Pennhurst Court Order	To monitor quality of services based on court order	Once a year